

01/11/02 11059 U.S. PTO

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Approved for use through 10/31/2002. OMB 0551-0045
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| | | |
|---|------------------------|-------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | TESSERA 3.0-299 |
| | First Inventor | Philip Damberg |
| | Title | STACKED MICROELECTRONIC, etc. |
| | Express Mail Label No. | EL807551526US |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 800 concerning utility patent application contents. | ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231 |
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| | |
|--|---|
| 1 <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claiming small entity status See 37 CFR 1.27 | 8 <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> |
| 3 <input checked="" type="checkbox"/> Specification [Total Pages 41] <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure | a <input type="checkbox"/> Computer Readable Form (CRF) b Specification Sequence Listing on i <input type="checkbox"/> CD-ROM or CD-R (2 copies), or <input type="checkbox"/> paper c <input type="checkbox"/> Statements verifying identity of above copies |
| 4 <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12] | |
| 5. Oath or Declaration [Total Pages 1] a <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 14 completed)</i> i <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) | |
| 6 <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | |


| | |
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| ACCOMPANYING APPLICATIONS PARTS | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | <input type="checkbox"/> Power of Attorney |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> | <input type="checkbox"/> English Translation Document <small>(if applicable)</small> |
| 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 12. <input type="checkbox"/> Preliminary Amendment | |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically retimed)</small> | |
| 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> | |
| 15. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) | |
| 16. <input checked="" type="checkbox"/> Applicant must attach form PTO/SB/35 or its equivalent | |
| 17. <input checked="" type="checkbox"/> Other. Unexecuted Declaration (3 pg) | |

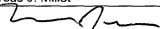
18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information Examiner Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | |
|---|--|
| 19. CORRESPONDENCE ADDRESS | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  000530 |
| or <input type="checkbox"/> Correspondence address below | |
| Name _____ | |
| Address _____ | |
| City _____ | State _____ Zip Code _____ |
| Country _____ | Telephone _____ Fax _____ |

| | | | |
|-------------------|--|----------------------------------|------------------|
| Name (Print/Type) | Marcus J. Millet | Registration No (Attorney/Agent) | 28.241 |
| Signature |  | Date | January 11, 2002 |

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| Express Mail Label No | EL807551526US |
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Application claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,544.00

Complete if Known

Application Number Not Yet Assigned
Filing Date Herewith
First Named Inventor Philip Damberg
Examiner Name Not Yet Assigned
Group Art Unit Not Yet Assigned
Attorney Docket No. TESSERA 3.0-299

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

12-1095

Deposit Account Name
Lerner, David, Littenberg,
Krumholz & Mentlik, LLP

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | 740.00 |
| 105 | 330 | 206 | 185 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | 740.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | 60 | -20** = | 40 | x | 18.00 | = | 720.00 |
|--------------------|----|---------|----|---|-------|---|--------|
| Independent Claims | 4 | -3** = | 1 | x | 84.00 | = | 84.00 |
| Multiple Dependent | | | | | | | |

| Large Entity | | Small Entity | | Fee Description | |
|---------------|---------------|---------------|----------|--|--------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid | |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | (\$) | 804.00 |

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|---|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(c) | |
| 128 | 180 | 126 | 180 | Submission of Information Disclosure Sheet | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per ownership (times number of procoetees) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Mapcus, J. Millet
Signature

Registration No. (Attorney/Agent)

26,241

Complete (if applicable)

Telephone (908) 518-6450

Date January 11, 2002

Express Mail Label No

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| | | | |
|---|----------------------|---|----------------|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | | Philip Damberg |
| | Title | STACKED MICROELECTRONIC ASSEMBLIES AND METHODS OF MAKING SAME | |
| | Attorney Docket No. | TESSERA 3.0-299 | |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 11, 2002

Date



Signature

MARCUS J. MILLET

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently submits an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Express Mail Label No EL 807551526 US